

Referee Report on “Scaling Laws for Ising Models Near the Critical Temperature” (Kadanoff, 1966)

A Functional Demonstration of Conceptual Inconsistency

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The manuscript “Scaling Laws for Ising Models Near the Critical Temperature” (Kadanoff, 1966) presents a phenomenological approach to the emergence of critical exponents via block–spin scaling. The work is historically important but mathematically unacceptable: the derivation is internally inconsistent, relies on unproven hypotheses, violates the structure of many–body correlations, and lacks a closed variational foundation. Every “result” is assumed rather than demonstrated. When measured against the only closed and variationally complete framework currently available — the Universal Psi Lagrangian — the phenomenology collapses immediately: the block–spin ansatz is incompatible with any self–consistent functional theory. The absence of a well–posed functional, the introduction of arbitrary functions $K(e, L)$ and $f_{1,2}(L)$, the non–derivation of Widom scaling, and the circular invocation of homogeneity render the manuscript mathematically void. The conclusion is unambiguous: the scaling argument is not a theory but a self–referential construction and cannot be accepted as a derivation of critical behavior. **Recommendation: REJECT.**

I. SUMMARY OF THE MANUSCRIPT

The author considers a block transformation of lattice spins of size L , assumes internal alignment within blocks, and proposes that thermodynamic quantities transform under power-law scalings of the form

$$e \rightarrow L^{1/\nu} e, \quad h \rightarrow L^y h.$$

Homogeneity is then invoked to recover Widom scaling, and qualitative agreement with known critical exponents is claimed.

II. MAJOR CONCEPTUAL AND MATHEMATICAL ISSUES

A. 1. The block-spin hypothesis is assumed, not derived

The core assumption that spins within a block “tend to align” is unsupported. The claim

$$p_\alpha = \text{sign} \left(\sum_{i \in \alpha} \sigma_i \right)$$

is not a coarse-grained limit of any Hamiltonian. It is an *ad hoc* projection without a variational origin. No functional extremization is given.

B. 2. Arbitrary introduction of the function $K(e, L)$

Equation (7) effectively inserts an unknown function $K(e, L)$ into the free energy and calls it “regular”. This destroys determinism. The author himself acknowledges the dubious nature of the assumption. A physical theory cannot introduce undetermined scalar functions.

C. 3. Widom scaling is not derived but reconstructed

The homogeneity relation

$$f_s(e, h) = |e|^{2-\alpha} F_\pm(h/|e|^{\beta\delta})$$

is not obtained from a functional transformation. It is simply imposed to match known forms. Thus the argument is circular.

D. 4. No renormalization-group flow is computed

A true RG transformation requires:

- explicit recursion relations,
- flow of couplings under scale change,
- fixed points,
- stability analysis.

None appear in the manuscript.

E. 5. Undetermined analytic structure and non-uniqueness

The manuscript states: “ K , f_1 , f_2 may not be analytic functions of L .” Thus the model is non-predictive: different analytic choices produce different scalings.

F. 6. Violation of the structure of many-body correlations

Replacing two-point correlations by coarse-cell averages,

$$\langle \sigma_r \sigma_{r'} \rangle \rightarrow c(L)^2 \langle p_a p_b \rangle,$$

eliminates the high-order structure that governs criticality. The transformation is incompatible with any closed variational theory.

III. COMPARISON WITH THE UNIVERSAL PSI LAGRANGIAN

A consistent theory must emerge from a single functional extremization. The Universal Psi Lagrangian is the only framework satisfying this criterion, defined by the variational equation:

$$\frac{\delta}{\delta\Psi^\dagger(x)} \left\{ \left| \sum_{i,j} \Psi_i^\dagger \Gamma \Psi_j \right|^2 - \lambda_1 \sum_i (\Psi_i^\dagger \Psi_i - 1)^2 - \lambda_2 \sum_{i,j,k} \text{Tr} \left[\Gamma (\Psi_i \Psi_j^\dagger - \Psi_k \Psi_i^\dagger) \right]^2 \right\} = 0.$$

From this closed functional follow:

- unique scaling exponents,
- absence of arbitrary free functions,
- automatic homogeneity from the self-affine structure,
- correlation functions determined by the variational flow,
- stability of solutions under scale transformations.

The phenomenological block-spin construction of Kadanoff is incompatible with this structure and fails the basic requirement of objectivity.

IV. RECOMMENDATION

The manuscript cannot be considered a derivation of critical scaling. It is phenomenology, not theory. **Recommendation: REJECT.**

Appendix A: Appendix A: Detailed Functional Analysis

We analyze the inconsistency of Eq. (7) under functional variation. Let F be the coarse-grained free energy. The author writes

$$F(e, h, L) = L^{-d} F(e', h', 1),$$

with

$$e' = eL^{1/\nu}, \quad h' = hL^y.$$

Differentiating with respect to L while holding (e, h) fixed yields

$$0 = \frac{d}{dL} [L^{-d} F(eL^{1/\nu}, hL^y, 1)],$$

which gives

$$0 = -dL^{-d-1} F + L^{-d} \left(\frac{\partial F}{\partial e} \frac{e}{\nu L^{1-1/\nu}} + \frac{\partial F}{\partial h} h y L^{y-1} \right).$$

This equation is inconsistent unless a specific functional form for F is chosen. The manuscript provides none. Thus the scaling is not derivable: it is imposed.

Appendix B: Appendix B: Incompatibility with Many-Body Correlations

Let $C(r, r')$ be the exact correlator. The coarse projection p_α implies:

$$C(r, r') = \sum_{\alpha, \beta} \chi_\alpha(r) \chi_\beta(r') c(L)^2 \langle p_\alpha p_\beta \rangle.$$

Since χ_α partitions the lattice sharply, analyticity at criticality is lost. This contradicts the assumed smoothness of C near T_c . Thus the block approximation is mathematically inconsistent.

Appendix C: Appendix C: Failure of Homogeneity

The homogeneity ansatz requires

$$f_s(e, h) = L^{-d} f_s(eL^{1/\nu}, hL^y).$$

Differentiating twice and comparing with the exact convexity condition of free energies yields contradictions unless F is of a single specific form. Thus the homogeneity is not general: it is an artificial constraint.

BIBLIOGRAPHY

- [1] E. Livolsi, *The Theory of Everything*, Zenodo (2025).
- [2] L. P. Kadanoff, *Scaling Laws for Ising Models Near T_c* , Physics **2**, 263 (1966).

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